

# The substitution reaction of (CNC)Fe<sub>2</sub>N<sub>2</sub> with CO

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**Abstract** The substitution mechanism of two N<sub>2</sub> ligands in (CNC)Fe<sub>2</sub>N<sub>2</sub> replaced by CO was studied theoretically at the B3LYP/LACVP\* level. Both S<sub>N</sub>1 and S<sub>N</sub>2 mechanisms were considered. The calculated results for the gas phase suggested that: 1) in S<sub>N</sub>1 mechanism, N<sub>2</sub> elimination, which involves S<sub>0</sub>-T<sub>1</sub> PESs crossing, is the rate control step for both substitution stages. The barrier heights are 9.7 kcal mol<sup>-1</sup> and 13.05 kcal mol<sup>-1</sup>, respectively. 2) In S<sub>N</sub>2 mechanism, the calculated barrier heights on LS PES are respectively 13.7 and 19.83 kcal mol<sup>-1</sup> for the two substitution steps, but S<sub>0</sub>-T<sub>1</sub> PESs crossing lowers the two barriers to 10.7 and 15.7 kcal mol<sup>-1</sup>, respectively. 3) Inclusion of solvation effect of THF by PCM model, the relative energies of all the key species (including minima, transition states and S<sub>0</sub>-T<sub>1</sub> crossing points) do not have great difference from their gas phase relative energies. Considering that for each substitution step, S<sub>N</sub>1 barrier heights is slightly smaller than S<sub>N</sub>2 barrier, S<sub>N</sub>1 mechanism seems to be slightly preferable to S<sub>N</sub>2 mechanism.

**Keywords** Barrier heights · (CNC)Fe<sub>2</sub>N<sub>2</sub> · CO · N<sub>2</sub> ligands · S<sub>N</sub>2 mechanism · S<sub>0</sub>-T<sub>1</sub> PESs crossing

## Introduction

Transition metal dinitrogen complexes are an active research area since they are considered to be important intermediates in N<sub>2</sub> fixation and activation by artificial systems. It is

generally accepted that the activation comes from filling metal d electrons into N<sub>2</sub> π\* orbital. Thus low oxidation state of center metal atom and strong σ-donating ligand are propitious to the activation of N<sub>2</sub>. N<sub>2</sub> activation and function by low-valent group 4, 5 and 6 metals have been reported [1–4]. In recent years, low-valent iron dinitrogen complexes have attracted much more attention [5–9]. In 2010, Tylar et al. discussed the recent advances in iron-dinitrogen coordination complexes and their reactivity [10].

Chirik and coworkers [11], and Danopoulos and coworkers [12] reported two types of activated bis-dinitrogen Fe(0) complexes, in which two end-on dinitrogen ligands were supported by the same iron(0) center. In the former, the ligand is <sup>i</sup>PrPDI((2,6-CHMe<sub>2</sub>)<sub>2</sub>C<sub>6</sub>H<sub>3</sub>N=CMe)<sub>2</sub>C<sub>5</sub>H<sub>3</sub>N), while in the later the ligand is CNC ligand(CNC=2,6-bis(aryl-imidazol-2-ylidene)pyridine, aryl=2,6-Pr<sup>i</sup><sub>2</sub>C<sub>6</sub>H<sub>3</sub>). Although the Fe atom in both complexes is in d<sup>8</sup> configuration [8], experimental results claimed a high-spin (HS, triplet) ground state for the former complex but a low-spin (LS, singlet) ground state for the later complex.

In this paper, we present our theoretical investigation to the reaction mechanism of (CNC)Fe<sub>2</sub>N<sub>2</sub> with CO, by which two weak electron donor N<sub>2</sub> ligands are replaced by stronger electron donor CO ligands stage by stage, as found in Danopoulos experimental findings [12].

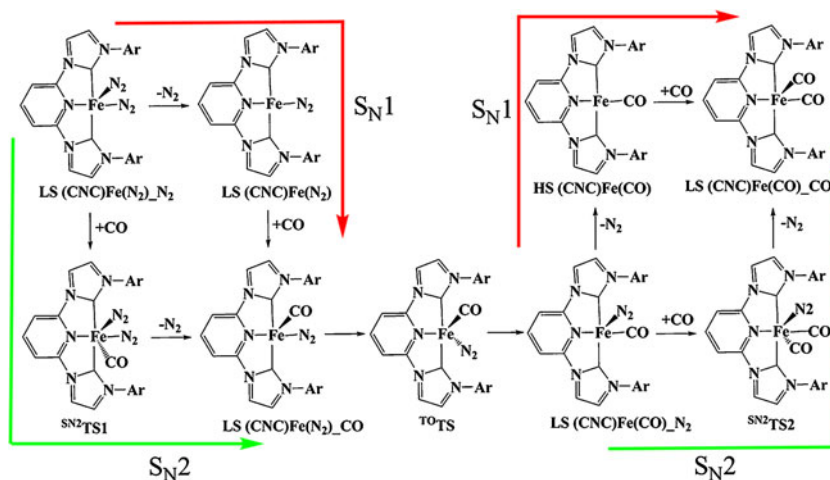
Because CO is a stronger electron donor than N<sub>2</sub>, both the replacing steps should be thermodynamically favorable. However, the mechanism and dynamic information of these replacing processes are still kept unknown. Generally, two mechanisms, S<sub>N</sub>1 and S<sub>N</sub>2, are possible, as shown in Fig. 1. For the simplicity of discussion, we use (CNC)Fe(L<sub>1</sub>)(L<sub>2</sub>), where L<sub>1</sub> is the basal ligand while L<sub>2</sub> is the apical ligand, to denote the five coordinates Fe(0) complexes in the following sections. Taking the first replacement reaction for example: 1) if the replacement happens via S<sub>N</sub>1 mechanism, (CNC)Fe(N<sub>2</sub>)(N<sub>2</sub>) first eliminates the axial N<sub>2</sub> to afford a (CNC)Fe(N<sub>2</sub>) intermediate, then one CO molecule adds to Fe center to form (CNC)Fe(N<sub>2</sub>)(CO). Normally, the elimination step should need higher activation energy and is the

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**Fig. 1**  $S_{N2}$  and  $S_{N1}$  mechanism for substituting two  $N_2$  ligands of  $(CNC)Fe(N_2)_2$  by two CO molecules



rate control step. 2) If the replacement happens via  $S_{N2}$  mechanism, a transition state will be formed when one CO molecule attacks the Fe center from the opposite direction of apical  $N_2$  ligand, through which the breaking of Fe-N bond and the forming of C-Fe bond occur simultaneously. The second  $N_2$  replacement by CO step may have similar mechanisms. In this paper, we present a detailed theoretical investigation on the two mechanisms, and our results suggest that  $S_0$ - $T_1$  crossings are important for both  $S_{N1}$  and  $S_{N2}$  mechanisms, and lowers the barriers, which is more favorable for both the substitution steps.

### Computational methods

We employed hybrid B3LYP [13–15], which utilizes the three-parameter exchange functionals of Becke in conjunction with the Lee-Yang-Parr correlation functional in our calculations. LACVP\* basis set [6-31G(d) for H, C, N and O atoms and LANL2DZ basis set and ECP (ten core electrons) for Fe] [16, 17], which has been extensively used to study the compounds containing Fe [18–20]. For stable points, including minima and transition states, all the geometries were optimized without any constraint, and their vibration frequencies were calculated at the same level of optimization. The intrinsic reaction coordinate (IRC) method was used to track minimum energy paths from transition state structures to the corresponding minimum. Searching for  $S_0$ - $T_1$  crossing points (where a geometry has the same electronic energy on both LS and LH potential energy surfaces) in the  $S_{N1}$  mechanisms, flexible scans along a series of fixed apical Fe-N distances were carried out for  $(CNC)Fe(N_2)_2$  and  $(CNC)Fe(CO)_2$  on both LS and HS PESs, followed by point wise single energy calculations on the opposite PESs. The crossing points in the  $S_{N2}$  mechanisms were obtained by calculating the HS electronic energies at the geometries along the LS MEPs. When considering solvation effect of THF, SCRF single point energies were calculated with default parameters using PCM model [21–23].

All calculations were performed using Gaussian 03 program [24] except for SCRF calculations for which Gaussian 09 was used [25].

### Results and discussion

Figure 2 depicts all the optimized geometries of the stable species, including minima and transition states, with the key geometric parameters. For comparison, the calculated and experimental key bond lengths of LS  $(CNC)Fe(N_2)_2$  and LS  $(CNC)Fe(CO)_2$  are given in Tables 1 and 2. It can be seen that, most calculated bond lengths are in good agreement with the experimental values in ref. [12]. The great differences come from Fe-N3 (0.032 Å), Fe-C1 (0.039 Å) of LS  $(CNC)Fe(N_2)_2$ , and Fe-C2 (0.028 Å) of LS  $(CNC)Fe(CO)_2$ . Considering that the calculated values correspond to isolated molecules while the measured bond lengths are for single crystals, these differences are not significant and the theoretical method used in this study is reliable.

In the following sections, we will first present the reaction profiles of the  $S_{N1}$  mechanism, and then discuss the  $S_{N2}$  mechanism with the relative energies for gas phase. Solvation effect of THF to the relative energies will be considered in the last part. It should be noted that in the following discussion, energies about  $S_0$ - $T_1$  PES crossing are the relative electronic energy values without ZPE correction while the other energies values are the relative electronic energy values with ZPE correction.

#### $S_{N1}$ mechanism

Figure 3a depicts the reaction profiles of  $S_{N1}$  mechanism for the first substitution step, and Fig. 3b depicts the  $S_0$ - $T_1$  crossing profiles of  $^{OPT}S_0$  with  $^{SP}T_1$  and  $^{SP}S_0$  with  $^{OPT}T_1$  in the process of first  $N_2$  elimination, with the relative energies of the stable structures involved. In another paper [26], we have discussed the  $N_2$  elimination mechanism from LS  $(CNC)Fe(N_2)_2$ , and

concluded that an  $S_0$ - $T_1$  PESs crossing mechanism, which lowers the barrier to  $9.7 \text{ kcal mol}^{-1}$  and affords four-member coordinated intermediate HS (CNC)Fe( $N_2$ ), is more favorable. From Fig. 3a, we can see that the addition of CO onto LS (CNC)Fe( $N_2$ ) and HS (CNC)Fe( $N_2$ ) are greatly exothermal, by  $43.5$  and  $27.59 \text{ kcal mol}^{-1}$  respectively, to produce LS (CNC)Fe( $N_2$ )\_CO. Since CO is a stronger electron donor than  $N_2$ , we may expect that the barrier of CO addition to HS (CNC)Fe( $N_2$ ) should be smaller than that of  $N_2$  addition. Therefore, the  $S_N1$  barrier for the first  $N_2$  to CO replacement reaction can be taken at the  $S_0$ - $T_1$  crossing point **CRP1**,  $9.7 \text{ kcal mol}^{-1}$ . The details of the addition step will not be discussed.

Figure 4a depicts the reaction profiles of  $S_N1$  mechanism for the second substitution step, and Fig. 4b depicts the  $S_0$ - $T_1$  crossing profiles of  $^{OPT}S_0$  with  $^{SP}T_1$  and  $^{SP}S_0$  with  $^{OPT}T_1$  in

the process of second  $N_2$  elimination. As the products in the first substitution step, (CNC)Fe( $N_2$ )\_CO is in its LS ground state. It can easily convert to LS (CNC)Fe(CO)\_ $N_2$  via a small barrier of  $3.53 \text{ kcal mol}^{-1}$ . The  $N_2$  elimination intermediate of (CNC)Fe(CO)\_ $N_2$ , four-member-coordinated Fe(0) complex (CNC)Fe(CO), also prefers HS ground state. Relative to the total energy of LS (CNC)Fe(CO)\_ $N_2$ , the relative energies of LS (CNC)Fe(CO) +  $N_2$  and HS (CNC)Fe(CO) +  $N_2$  are respectively  $18.03$  and  $13.05 \text{ kcal mol}^{-1}$ . Therefore, in the course of  $N_2$  elimination from (CNC)Fe(CO)\_ $N_2$ ,  $S_0$ - $T_1$  spin conversion will happen and similar  $S_0$ - $T_1$  PES crossing is possible. From Fig. 4a and b, we can see that the lower energy channel of  $N_2$  elimination from (CNC)Fe(CO)\_ $N_2$  also involves an  $S_0$ - $T_1$  crossing mechanism. This nonadiabatic mechanism exists in most reactions [27–31]. However,

**Fig. 2** Optimized geometries of the stable species, including minima and transition states of the two substitution steps via  $S_N2$  mechanism

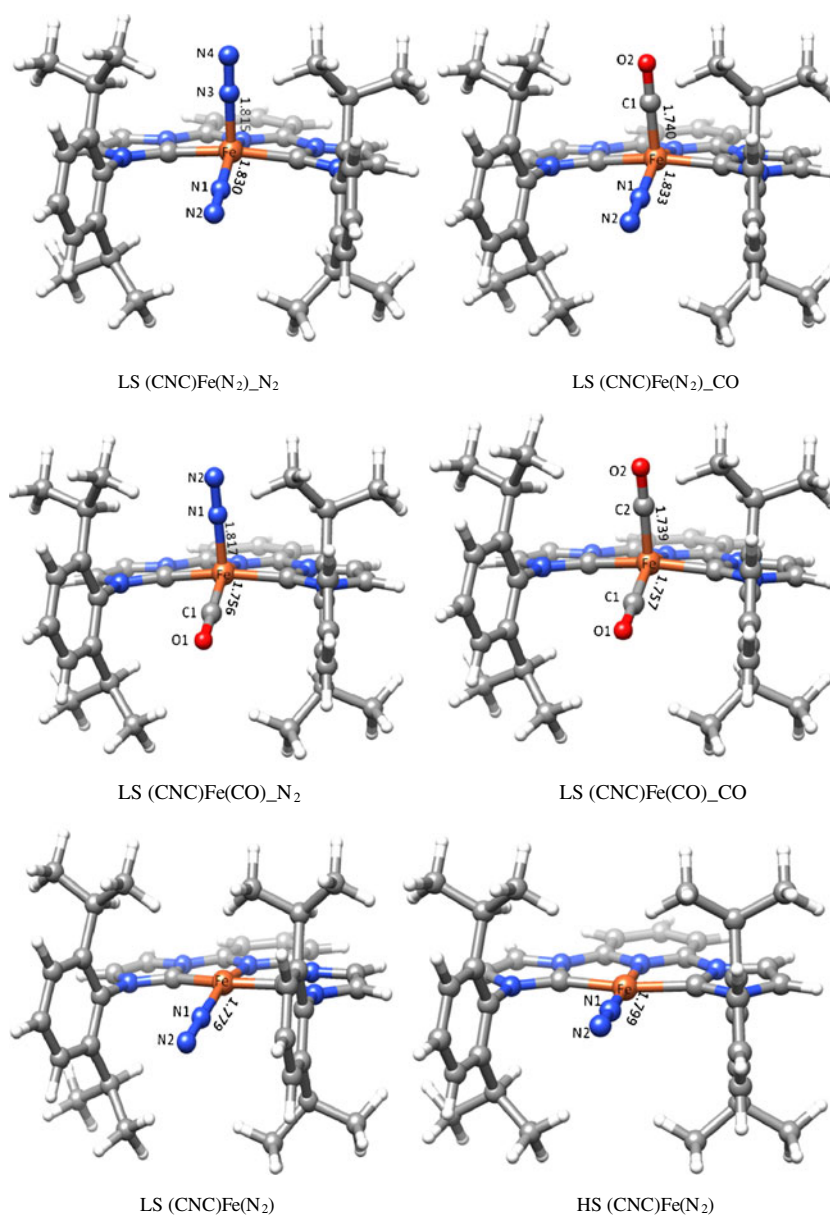
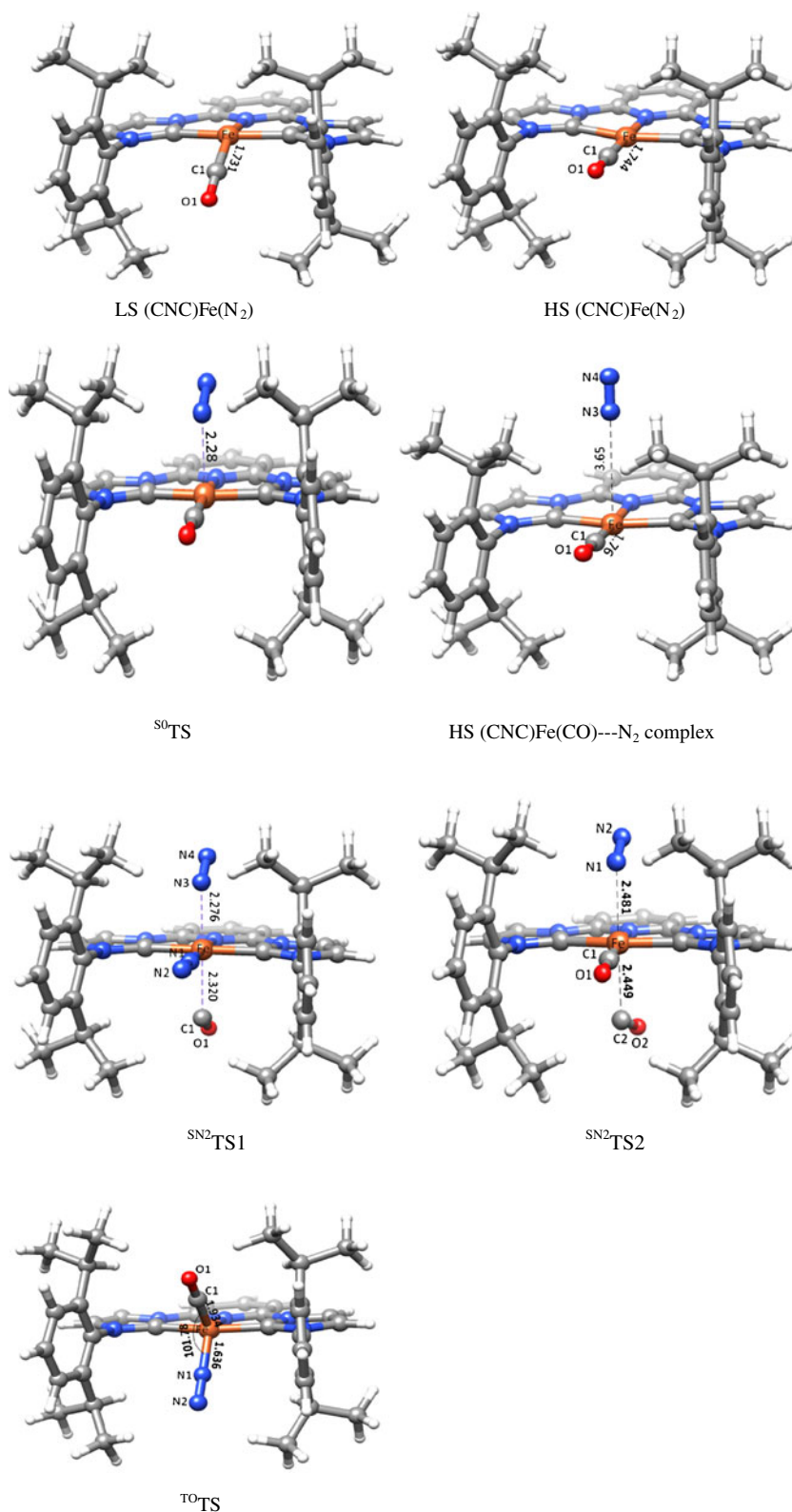


Fig. 2 (continued)



different from the S<sub>0</sub>-T<sub>1</sub> crossing mechanism in the first N<sub>2</sub> elimination step, the S<sub>0</sub>-T<sub>1</sub> crossing point of the second N<sub>2</sub> elimination (**CRP2**) is not the highest energy point along the N<sub>2</sub> elimination path since it is lower than HS (CNC)Fe(CO) +

N<sub>2</sub> by 0.65 kcal mol<sup>-1</sup>. Checking the <sup>OPT</sup>T<sub>1</sub> profile, it decreases monotonously with the increase of Fe-N1 distance in the scan region but at the long end of Fe-N1 bond, the relative energies of <sup>OPT</sup>T<sub>1</sub> points are lower than the relative energy of

**Table 1** Calculated and experimental bond lengths of LS (CNC)Fe(N<sub>2</sub>)<sub>2</sub> and (CNC)Fe<sub>2</sub>CO (in Å)

	Calculated	Ref. [12]		Calculated	Ref. [12]
Fe-N1	1.831	1.820	Fe1-C1	1.757	1.746
Fe-N3	1.815	1.847	Fe-C2	1.739	1.767
N1-N2	1.124	1.113	C1-O1	1.166	1.165
N3-N4	1.125	1.115	C2-O2	1.167	1.161
Fe-C1	1.951	1.912	Fe-C3	1.933	1.918
Fe-C2	1.951	1.915	Fe-C4	1.933	1.910
Fe-N5	1.899	1.890	Fe-N1	1.917	1.843

HS (CNC)Fe(CO) + N<sub>2</sub>, 13.05 kcal mol<sup>-1</sup>. This result implies that an HS (CNC)Fe(CO)—N<sub>2</sub> complex may exist. We found this HS (CNC)Fe(CO)—N<sub>2</sub> complex, in which the Fe-N1 distance is 3.65 Å. It seems that the stability of the HS (CNC)Fe(CO)—N<sub>2</sub> complex is not too poor since its decomposition barrier (to HS (CNC)Fe(CO) + N<sub>2</sub>) is about 6.43 kcal mol<sup>-1</sup> and its barrier for forming LS (CNC)Fe(CO)<sub>2</sub> is 5.78 kcal mol<sup>-1</sup> (relative to CRP2). If these well depth values are reliable, it is possible that the HS (CNC)Fe(CO)—N<sub>2</sub> complex can be detected by experimental methods at low temperature.

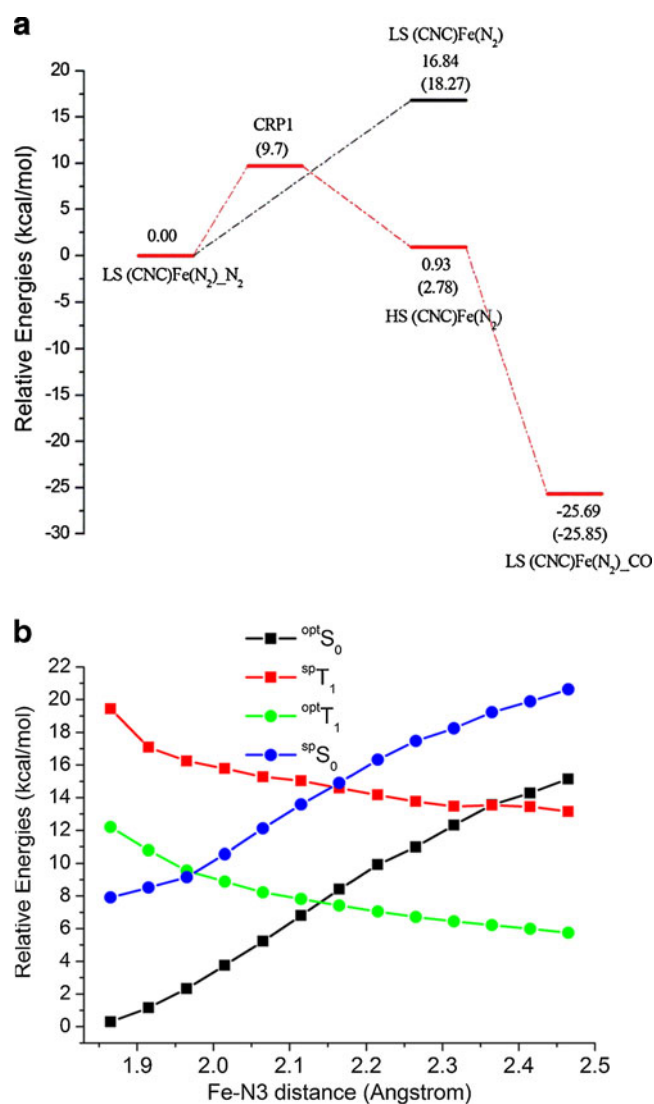
Paying attention to <sup>OPT</sup>S<sub>0</sub> profile, one can see that, at the long-end of Fe-N1 bond, the relative energies are even higher than the relative energy of LS (CNC)Fe(CO) + N<sub>2</sub>, 18.03 kcal mol<sup>-1</sup>. This implies the existence of a transition state on the S<sub>0</sub> PES, <sup>S0</sup>TS. We had trouble in accurately locating the structure of <sup>S0</sup>TS, probably due to the very loose structure and very flat PES in the region of <sup>S0</sup>TS. An approximate <sup>S0</sup>TS structure with a long Fe-N1 bond of about 3.35 Å is given in Fig. 2. <sup>S0</sup>TS is about 25.74 kcal mol<sup>-1</sup> higher than LS (CNC)Fe(CO)<sub>2</sub>. Such a high barrier means that, the reaction rate of N<sub>2</sub> elimination through <sup>S0</sup>TS is negligible.

**Table 2** Relative energies of the stable species involved in S<sub>N</sub>2 mechanism

	Relative energy (kcal mol <sup>-1</sup> )	
	LS E <sub>c</sub> + ZPE/E <sub>c</sub>	HS (E <sub>c</sub> ) E <sub>c</sub>
(CNC)Fe(N <sub>2</sub> ) <sub>2</sub>	0.00/0.00	19.15 (19.15) <sup>b</sup>
<sup>S<sub>N</sub>2</sup> TS1	13.70/14.33	7.32 (-7.01)
(CNC)Fe(N <sub>2</sub> ) <sub>2</sub> CO	-26.60/-27.47	1.59 (29.06)
(CNC)Fe(CO) <sub>2</sub> N <sub>2</sub>	-24.82/-25.85	-1.90(23.95) <sup>c</sup>
<sup>S<sub>N</sub>2</sup> TS2	-4.99/-4.25	-14.13 (-9.88)
(CNC)Fe(CO) <sub>2</sub> CO	-51.63/-53.17	-57.42(-4.25)

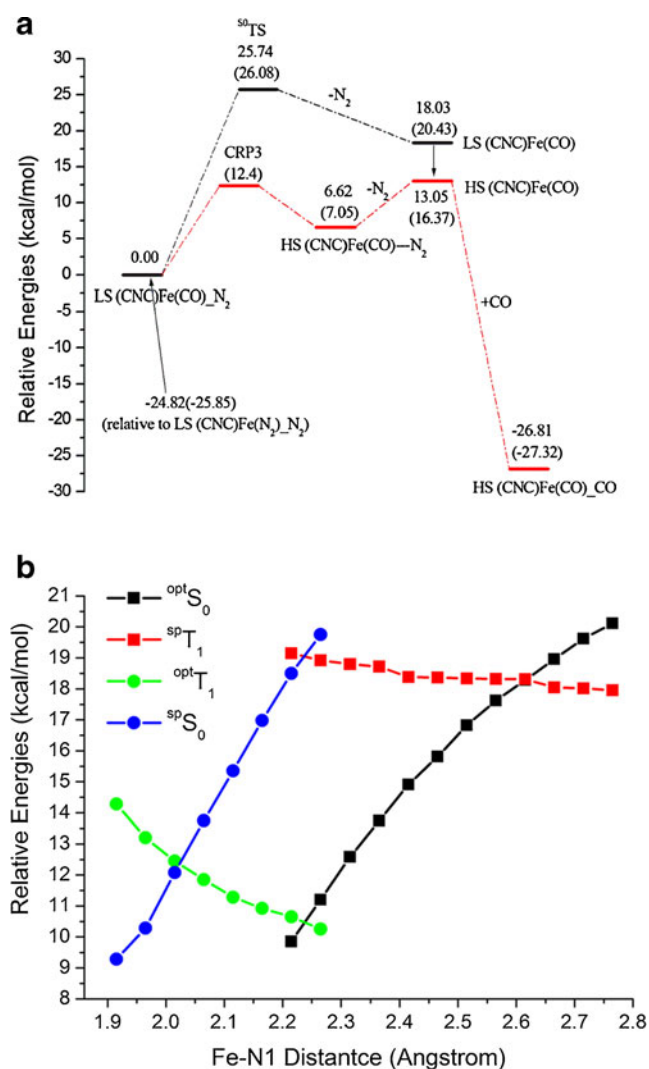
\*Values in italic are without ZPE correction

\*\*values in parentheses are relative electronic energies of HS species corresponding to LS species



**Fig. 3** **a** S<sub>N</sub>1 mechanism for N<sub>2</sub> of LS-(CNC)Fe(N<sub>2</sub>)<sub>2</sub> replaced by CO. Relative energies are calculated from E<sub>c</sub> + ZPE. Values in parentheses are relative energies without ZPE correction. **b** S<sub>0</sub>-T<sub>1</sub> crossing position in the first N<sub>2</sub> elimination process. <sup>opt</sup>S<sub>0</sub>, point wise-optimized S<sub>0</sub> state profile; <sup>sp</sup>T<sub>1</sub>, single-point energy profile based on <sup>opt</sup>S<sub>0</sub> geometries; <sup>opt</sup>T<sub>1</sub>, point wise-optimized T<sub>1</sub> state profile; <sup>sp</sup>S<sub>0</sub>, single-point energy profile based on <sup>opt</sup>T<sub>1</sub> geometries

CO addition onto the HS (CNC)Fe(CO) will finally result in singlet five-member coordinated Fe(0) complexes and may involve HS (CNC)Fe(CO)—CO complex and a  $T_1$ - $S_0$  state crossing point. However, we can assert without doubt that HS (CNC)Fe(CO)—CO complex and the  $T_1$ - $S_0$  state crossing point are both located lower than HS (CNC)Fe(CO) + CO, based on the fact that CO is a stronger electron donor and the addition is exothermal  $39.86 \text{ kcal mol}^{-1}$ . Therefore, the addition of CO onto HS (CNC)Fe(CO) to finally afford LS (CNC)Fe(CO)\_CO is indeed a barrier free process. The  $S_N1$  mechanism activation barrier of the  $N_2$  of LS (CNC)Fe(CO)\_ $N_2$  replaced by CO should equal to the  $N_2$  elimination barrier through  $S_0$ - $T_1$  state crossing mechanism,  $13.05 \text{ kcal mol}^{-1}$ .



**Fig. 4** **a**  $S_N1$  mechanism for  $N_2$  of LS-(CNC)Fe(CO)\_ $N_2$  replaced by CO. Relative energies are calculated from  $E_c$  + ZPE. Values in parentheses are relative energies without ZPE correction. **b**  $S_0$ - $T_1$  crossing position in the second  $N_2$  elimination process.  $^{opt}S_0$ , point wise-optimized  $S_0$  state profile;  $^{sp}T_1$ , single-point energy profile based on  $^{opt}S_0$  geometries;  $^{opt}T_1$ , point wise-optimized  $T_1$  state profile;  $^{sp}S_0$ , single-point energy profile based on  $^{opt}T_1$  geometries

## $S_N2$ mechanism

Figure 5a to 5c summarizes the  $S_N2$  mechanism for substituting two  $N_2$  ligands of (CNC)Fe( $N_2$ )\_ $N_2$  by two CO molecules based on B3LYP theoretical calculations.

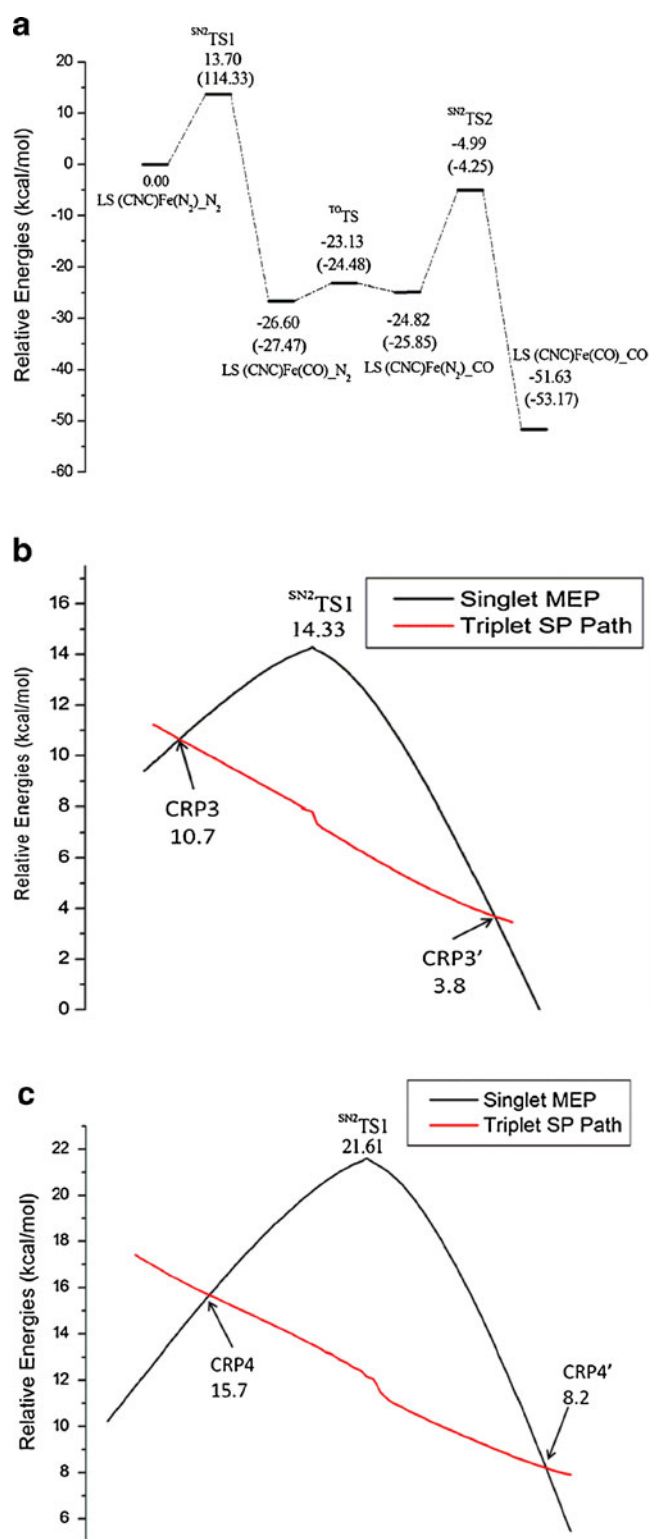
As shown in Fig. 5a, on the  $S_0$  PES, replacing the two  $N_2$  ligands by two CO molecules via  $S_N2$  mechanism consists of three steps: 1)  $S_N2$  replacement of apical  $N_2$  of (CNC)Fe( $N_2$ )\_ $N_2$  by first CO molecule; 2) structural turnover of intermediate product which changes the situation of apical CO and basal  $N_2$  ligands; 3)  $S_N2$  replacement of apical  $N_2$  of (CNC)Fe(CO)\_ $N_2$  by second CO molecule, to afford final (CNC)Fe(CO)\_CO.  $^{SN2}TS1$ ,  $^{TO}TS2$  and  $^{SN2}TS2$  are used to denote the three transition states in first  $S_N2$  substitution step, conversion between (CNC)Fe( $N_2$ )\_CO and (CNC)Fe(CO)\_ $N_2$ , and in second  $S_N2$  substitution step.

In the first  $S_N2$  substitution step, C1-O1 molecule replaces the axial N3-N4 of (CNC)Fe( $N_2$ )\_ $N_2$ , by attacking Fe center from the opposite direction of N3-N4. In the transition state of  $^{SN2}TS1$ , the Fe-N3 bond has been elongated to  $2.276 \text{ \AA}$  and the Fe-C1 bond is  $2.320 \text{ \AA}$ . The reaction mode has an imaginary frequency of  $i481 \text{ cm}^{-1}$ . The barrier height of  $^{SN2}TS1$  is  $13.70 \text{ kcal mol}^{-1}$ . The product (CNC)Fe( $N_2$ )\_CO is a distorted square pyramid, which has basal N1-N2 ligand and apical C1-O1 ligand. This step is exothermal by  $26.60 \text{ kcal mol}^{-1}$ .

Required by spatial effect, to substitute the second  $N_2$  ligand by CO molecule needs a structural turnover from (CNC)Fe( $N_2$ )\_CO to (CNC)Fe(CO)\_ $N_2$ , so that the second  $N_2$  ligand is positioned as the apical ligand. This turnover happens through a transition state,  $^{TO}TS$ . The forward and reverse barrier heights are only  $3.47$  and  $1.69 \text{ kcal mol}^{-1}$ . Such small barrier heights for forward and reverse reactions suggest a nearly free conversion between (CNC)Fe( $N_2$ )\_CO and (CNC)Fe(CO)\_ $N_2$  at room temperature. A similar transition state can also be found for configuration turnover of (CNC)Fe( $N_2$ )\_ $N_2$ , and configuration turnover of (CNC)Fe(CO)\_CO, with very small barrier height. For example, a conversion transition state between (CNC)Fe(C1O1)\_C2O2 and (CNC)Fe(C2O2)\_C1O1 gives a barrier height of  $1.53 \text{ kcal mol}^{-1}$ .

In the second  $S_N2$  substitution step, the second CO molecule attacks Fe center from the opposite direction of apical N1-N2 ligand of (CNC)Fe(CO)\_ $N_2$ , forms the transitions state  $^{SN2}TS2$ . The Fe-N3 bond is elongated to  $2.481 \text{ \AA}$  and Fe-C2 bond is  $2.449 \text{ \AA}$ . The reaction mode of  $^{SN2}TS2$  has an imaginary frequency of  $i423 \text{ cm}^{-1}$ . The barrier height is  $19.83 \text{ kcal mol}^{-1}$ . The final product (CNC)Fe(CO)\_CO is also a distorted square pyramid, with one basal and one apical CO ligand. The second  $S_N2$  substitution step is exothermal  $25.81 \text{ kcal mol}^{-1}$ .

From above results, we can see that, on the LS PES, the barrier heights of the two  $S_N2$  substitution steps are higher than those of  $S_N1$  mechanism which involves  $S_0$ -



**Fig. 5** a Energy profiles of  $S_N2$  mechanism of  $N_2$  substitution reaction of  $(CNC)Fe(N_2)_N_2$  by CO on the  $S_0$  PES; 5b)  $S_0$ - $T_1$  crossing in the first  $S_N2$  step; 5c)  $S_0$ - $T_1$  crossing in the second  $S_N2$  step \*Energies are calculated from electronic energies only; \*\*In 5b, energy values are relative to  $(CNC)Fe(N_2)_N_2$  plus CO, while in 5c, energy values are relative to  $(CNC)Fe(CO)_N_2$  plus CO

$T_1$  crossing. However,  $S_0$ - $T_1$  PES crossing is also possible in the  $S_N2$  mechanism. At the B3LYP/LACVP\* level, we calculated the  $T_1$  state electronic energies at the geometries of LS  $(CNC)Fe(N_2)_N_2$ ,  $^{SN2}TS1$ , LS  $(CNC)Fe(N_2)_CO$ , LS  $(CNC)Fe(CO)_N_2$ ,  $^{SN2}TS2$  and LS  $(CNC)Fe(CO)_CO$ . The results are summarized in Table 3. It can be seen that, for all the minima, their  $T_1$  state energies are all higher than their  $S_0$  state energies, but for the  $^{SN2}TS1$  and  $^{SN2}TS2$ , the  $T_1$  state electronic energies are respectively by 7.01 and 9.88 kcal mol $^{-1}$  smaller than their  $S_0$  state electronic energies. Therefore, it is quite possible that the  $N_2$  elimination via  $S_N2$  mechanism may happen through an  $S_0$ - $T_1$  PES crossing path.

To accurately determine the  $S_0$ - $T_1$  crossing region is a very difficult task and what we can do is to estimate the position of crossing point and its relative energy by pointwise energy calculations for both  $S_0$  and  $T_1$  states at the geometries along the minimum energy path of LS  $S_N2$  mechanism. In Fig. 5b and c, the  $S_0$ - $T_1$  crossing profiles of the first and second substitutions calculated at the B3LYP/LACVP\* level are depicted, respectively.

**Table 3** Calculated relative energies of the stable species in gas phase and in THF solution (relative electronic energies, in kcal mol $^{-1}$ )

	Gas phase	THF solution
LS $(CNC)Fe(N_2)_N_2$	0.00	0.00
LS $(CNC)Fe(N_2)_CO$	-27.47	-26.41
LS $(CNC)Fe(CO)_N_2$	-25.85	-25.22
LS $(CNC)Fe(CO)_CO$	-53.17	-52.47
LS_CNC_Fe( $N_2$ )	18.27	18.52
HS CNC_Fe( $N_2$ )	2.77	4.09
LS_CNC_Fe(CO)	-5.43	-4.51
HS CNC_Fe(CO)	-9.49	-10.88
$^{SN1}TS$	-3.39	-2.17
HS CNC_Fe(CO)- $N_2$	-18.14	-17.26
$^{SN2}TS1$	14.33	14.50
$^{SN2}TS2$	-4.25	-4.09
$^{TO}TS$	-24.48	-23.25
CRP1	LS 8.53	HS 8.73
	HS 8.53	HS 10.97
CRP2	LS -13.50	HS -13.64
	HS -13.50	HS -11.55
CRP3	LS 10.66	HS 11.78
	HS 10.66	HS 12.96
CRP4	LS -10.42	HS -8.89
	HS -10.42	HS -8.21

\*Geometries of crossing points were approximately chosen (the optimized point which is closest to the crossing point in flexible scan or IRC calculations)

From Fig. 5b, we can see that, in the region of transition state  $^{\text{SN}2}\text{TS1}$ ,  $T_1$  state is lower than  $S_0$  state, and from the reactant side to product side, the  $T_1$  SP path monotonously decreases with the reaction coordinate increasing.  $T_1$  SP path crosses with  $S_0$  MEP at both the reactant side and product side, and the two crossing points (**CRP3** and **CRP3'**) are located lower than  $^{\text{SN}2}\text{TS1}$  by 3.6 and 10.5 kcal mol $^{-1}$ . This result suggests that the first  $\text{S}_{\text{N}}2$  reaction may undergo an  $S_0$ - $T_1$ - $S_0$  path which involves two  $S_0$ - $T_1$  crossing points, and the highest barrier is at the first crossing point, which has relative energy about 10.7 kcal mol $^{-1}$  (relative to (CNC)Fe(N $_2$ ) $_2$  plus CO).

From Fig. 5c, we can see that the second  $\text{S}_{\text{N}}2$  substitution reaction may proceed via similar  $S_0$ - $T_1$ - $S_0$  path, along which the reactant side crossing point (**CRP4**) and the product side crossing point (**CRP4'**) are located 5.9 and 13.4 kcal mol $^{-1}$  lower than  $^{\text{SN}2}\text{TS2}$ , respectively. Therefore, relative to (CNC)Fe(CO) $_2$  plus CO, the barrier height for the second substitution along the  $S_0$ - $T_1$ - $S_0$  crossing path should be about 15.7 kcal mol $^{-1}$  (relative to (CNC)Fe(CO) $_2$  plus CO).

From the above discussions, we can see that, for both substitution steps, the barrier heights of  $\text{S}_{\text{N}}2$  mechanism involving  $S_0$ - $T_1$ - $S_0$  PES crossing are slightly greater than corresponding barriers of  $\text{S}_{\text{N}}1$  mechanism involving  $S_0$ - $T_1$  crossing. Therefore,  $\text{S}_{\text{N}}1$  and  $\text{S}_{\text{N}}2$  mechanisms are competitive in both substitution steps. Considering that, all the barrier heights along the  $S_0$ - $T_1$  crossing PESs are not higher than 15 kcal mol $^{-1}$ , two  $\text{N}_2$  ligands replacement by two CO molecules should be kinetically quite fast at room temperature. This conclusion is consistent with the experimental result [12].

#### Solvation effect of THF to the relative energies

When considering the solvation effect of THF on the relative energies, we provided the relative electronic energies (without ZPE correct) for all the stable points and crucial crossing points in Table 3. From Table 3, we can see that all of the relative energies with solvation effect are close to these values in gas phase. So the main conclusion obtained for gas phase will not be changed when solvation effect of THF is included.

#### Conclusions

In this paper, we studied the mechanism of two  $\text{N}_2$  ligands of (CNC)Fe(N $_2$ ) $_2$  substituted by CO molecules. For  $\text{S}_{\text{N}}1$  mechanism,  $\text{N}_2$  elimination from (CNC)Fe(N $_2$ ) $_2$  and (CNC)Fe(CO) $_2$  is the rate control step, which involves  $S_0$ - $T_1$  PES crossing and results in triplet intermediates (CNC)Fe(N $_2$ ) and (CNC)Fe(CO).

$S_0$ - $T_1$  PES crossing lowers the elimination barriers of the first and second steps to 9.7 and 13.05 kcal mol $^{-1}$ , respectively. On the LS PES, the barrier heights of the first and second  $\text{S}_{\text{N}}2$  steps were calculated to be 13.7 kcal mol $^{-1}$  and 19.83 kcal mol $^{-1}$ , respectively.  $S_0$ - $T_1$  PES crossing is also possible for  $\text{S}_{\text{N}}2$  reaction, and lowers the  $\text{S}_{\text{N}}2$  barrier heights of the two substitution steps to 10.7 and 15.7 kcal mol $^{-1}$ , respectively. Including solvation effects of THF do not significantly change the relative energies of all the minima, transition states and crossing points. Therefore,  $\text{S}_{\text{N}}1$  mechanism which involves  $S_0$ - $T_1$  PES crossing is slightly preferred to  $\text{S}_{\text{N}}2$  mechanism for two  $\text{N}_2$  ligands of (CNC)Fe(N $_2$ ) $_2$  substituted by two CO molecular.

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